
**HOW DOES THE GOVERNMENT
DETERMINE WHAT SIC CODE
TO USE ON A SOLICITATION?**

Size Standards.

- (a) The SBA establishes small business size standards on an industry-by-industry basis.
- (b) Small business size standards are applied by –
 - (1) Classifying the product or service being acquired in the industry whose definition, as found in the Standard Industrial Classification (SIC) Manual, best describes the principal nature of the product or service being acquired;
 - (2) Identifying the size standard SBA established for that industry; and
 - (3) Specifying the size standard in the solicitation so that offerors can appropriately represent themselves as small or large.
- (c) For size standard purposes, a product or service shall be classified in only one industry, whose definition best describes the principal nature of the product or service being acquired even though for other purposes it could be classified in more than one.
- (d) When acquiring a product or service that could be classified in two or more industries with different size standards, contracting officers shall apply the size standard for the industry accounting for the greatest percentage of the contract price.

- **COMMERCE BUSINESS DAILY** — The Commerce Business Daily, published by the Department of Commerce, contains information concerning proposed procurements, sales, and contract awards. For further information concerning this publication, contact your local Commerce Field Office, or call the Superintendent of Documents, Government Printing Office, Washington, D.C. 20402-9371. Telephone: (202) 783-3238.

Instructions

Size of Business Definitions

(See Item 11A.)

a. **Small business concern** — A small business concern for the purpose of Government procurement is a concern, including its affiliates, which is independently owned and operated, is not dominant in the field of operation in which it is competing for Government contracts and can further qualify under the criteria concerning number of employees, average annual receipts, or other criteria, as prescribed by the Small Business Administration. (See Code of Federal Regulations, Title 13, Part 121, as amended, which contains detailed industry definitions and related procedures.)

b. **Affiliates** — Business concerns are affiliates of each other when either directly or indirectly (i) one concern controls or has the power to control the other, or (ii) a third party controls or has the power to control both. In determining whether concerns are independently owned and operated and whether or not affiliation exists, consideration is given to all appropriate factors including common ownership, common management, and contractual relationship. (See Items 8 and 11A.)

c. **Number of employees** — (Item 11B) In connection with the determination of small business status, "number of employees" means the average employment of any concern, including the employees of its domestic and foreign affiliates, based on the number of persons employed on a full-time, part-time, temporary, or other basis during each of the pay periods of the preceding 12 months. If a concern has not been in existence for 12 months, "number of employees" means the average employment of such concern and its affiliates during the period that such concern has been in existence based on the number of persons employed during each of the pay periods of the period that such concern has been in business.

Type of Ownership Definitions

(See Item 12.)

a. **"Disadvantaged business concern"** — means any business concern (1) which is at least 51 percent owned by one or more socially and economically disadvantaged individuals; or, in the case of any publicly owned business, at least 51 percent of the stock of which is owned by one or more socially and economically disadvantaged individuals; and (2) whose management and daily business operations are controlled by one or more of such individuals.

b. **"Women-owned business"** — means a business that is at least 51 percent owned by a woman or women, who are U.S. citizens and who also control and operate the business.

Type of Business Definitions

(See Item 13.)

a. **Manufacturer or producer** — means person (or concern) owning, operating, or maintaining a store, warehouse, or other establishment that produces, on the premises, the materials, supplies, articles, or equipment of the general character of those listed in Item 10, or in the Federal Agency's Supplemental Commodity List, if attached.

b. **Service establishment** — means a concern (or person) which owns, operates, or maintains any type of business which is principally engaged in the furnishing of nonpersonal services, such as (but not limited to) repairing, cleaning, redecorating, or rental of personal property, including the furnishing of necessary repair parts or other supplies as part of the services performed.

c. **Regular dealer (Type 1)** — means a person (or concern) who owns, operates, or maintains a store, warehouse, or other establishment in which the materials, supplies, articles, or equipment of the general character listed in Item 10, or in the Federal Agency's Supplemental Commodity List, if attached, are bought, kept in stock, and sold to the public in the usual course of business.

d. **Regular dealer (Type 2)** — In the case of supplies of particular kinds (at present, petroleum, lumber and timber products, machine tools, raw cotton, green coffee, hay, grain, feed, or straw, agricultural liming materials, tea, raw or unmanufactured cotton linters and used ADPE). Regular dealer means a person (or concern) satisfying the requirements of the regulations (Code of Federal Regulations, Title 41, 50-201.101(a)(2) as amended from time to time, prescribed by the Secretary of Labor under the Walsh-Healey Public Contracts Act (Title 41 U.S. Code 35-45). For coal dealers see Code of Federal Regulations, Title 41, 50-201.604(a).

VENDOR MAILING LIST APPLICATION

1. TYPE OF APPLICATION

INITIAL REVISION

2. DATE

FORM APPROVED

OMB NO.

3090-0009

NOTE - Please complete all items on this form. Insert N/A in items not applicable. See reverse for instructions.

3. NAME AND ADDRESS OF FEDERAL AGENCY TO WHICH FORM IS SUBMITTED (Include ZIP code)

4. NAME AND ADDRESS OF APPLICANT (Include country and ZIP code)

5. TYPE OF ORGANIZATION (Check one)

INDIVIDUAL NON-PROFIT ORGANIZATION

PARTNERSHIP CORPORATION INCORPORATED UNDER THE LAWS OF THE STATE OF:

6. ADDRESS TO WHICH SOLICITATIONS ARE TO BE MAILED (If different than Item 4)

7. NAMES OF OFFICERS, OWNERS, OR PARTNERS

A. PRESIDENT

B. VICE PRESIDENT

C. SECRETARY

D. TREASURER

E. OWNERS OR PARTNERS

8. AFFILIATES OF APPLICANT (Names, locations and nature of affiliation. See definition on reverse.)

9. PERSONS AUTHORIZED TO SIGN OFFERS AND CONGRACTS IN YOUR NAME (Indicate if agent)

NAME	OFFICIAL CAPACITY	TELE. NO. (Include area code)

10. IDENTIFY EQUIPMENT, SUPPLIES, AND/OR SERVICES ON WHICH YOU DESIRE TO MAKE AN OFFER (See attached Federal agency's supplemental listing and instructions. if any) Insert four character interest codes on reverse side of form in Block IV.

11A. SIZE OF BUSINESS (See definitions on reverse)

SMALL BUSINESS (If checked, Complete items 11B and 11C) OTHER THAN SMALL BUSINESS

11B. AVERAGE NUMBER OF EMPLOYEES (Including affiliates) FOR FOUR PRECEDING CALENDAR QUARTERS

11C. AVERAGE ANNUAL SALES OR RECEIPTS FOR PRECEDING THREE FISCAL YEARS

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12. TYPE OF OWNERSHIP (See definitions on reverse) (Not applicable for other than small businesses.)

DISADVANTAGED BUSINESS WOMAN-OWNED BUSINESS

13. TYPE OF BUSINESS (See definitions on reverse)

MANUFACTURER OR PRODUCER REGULAR DEALER (Type 1) CONSTRUCTION CONCERN SURPLUS DEALER
 SERVICE ESTABLISHMENT REGULAR DEALER (Type 2) RESEARCH AND DEVELOPMENT

14. CONTRACTOR ESTABLISHMENT CODE (CEC)

15. HOW LONG IN PRESENT BUSINESS?

16. FLOOR SPACE (Square feet)

17. NET WORTH

A. MANUFACTURING

B. WAREHOUSE

A. DATE

B. AMOUNT

18. SECURITY CLEARANCE (If applicable, check highest clearance authorized)

FOR	TOP SECRET	SECRET	CONFIDENTIAL	C. NAMES OF AGENCIES WHICH GRANTED SECURITY CLEARANCES (Include dates)
A. KEY PERSONNEL				
B. PLANT ONLY				

CERTIFICATION - I certify that information supplied herein (including all pages attached) is correct and that neither the applicant nor any person (or concern) in any connection with the applicant as a principal or officer, so far as is known, is now debarred or otherwise declared ineligible by any agency of the Federal Government from making offers for furnishing materials, supplies, or services to the Government or any agency thereof.

19. NAME AND TITLE OF PERSON AUTHORIZED TO SIGN (Type or print)

20. SIGNATURE

21. DATE SIGNED

